

# 柏瑞證券投資信託股份有限公司 函

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受文者：各銷售機構

發文日期：中華民國 106 年 11 月 28 日  
發文字號：(106)柏信字第 1060000509 號  
速別：最速件  
密等及解密條件或保密期限：普通  
附件：如說明

主旨：謹通知本公司所代理之柏瑞環球系列基金(下稱本基金)將修訂公開說明書一事，請查照。

說明：

- 一、 本基金之公開說明書內容將進行修改，基金經理將委任 PineBridge Investments LLC 為額外的投資經理，與現任投資經理 PineBridge Investments Europe Limited 共同管理柏瑞歐洲小型公司股票基金及柏瑞環球債券基金。詳如附件致投資人通知書。
- 二、 上述修改預計之生效日期為 2017 年 12 月 28 日。

附件:致柏瑞歐洲小型公司股票基金及柏瑞環球債券基金之單位持有人之通知書(中英文版)

正本：臺灣中小企業銀行信託部、合作金庫銀行信託部、日盛商業銀行個人理財處、遠東國際商業銀行信託部、陽信銀行信託部、兆豐國際商業銀行信託部、星展(台灣)銀行信託部、渣打國際商業銀行信託部、第一商業銀行信託處、大眾銀行信託部、臺灣銀行信託部、安泰商業銀行財富管理部、聯邦銀行財富管理部、玉山商業銀行財富管理部、華泰商業銀行信託部、彰化銀行信託處、臺灣新光銀行信託部、國泰世華銀行信託部、台北富邦銀行信託部、永豐銀行理財商品部、匯豐(台灣)銀行信託及投資作業部、上海商業儲蓄銀行、板信商銀理財事業部、元大商業銀行股份有限公司信託部、凱基商業銀行信託處、華南商業銀行信託部、瑞興銀行信託部、京城銀行信託部、台中商業銀行信託部、三信商業銀行信託部、高雄銀行信託部、日盛證券股份有限公司、日盛證券股份有限公司財富管理部、凱基證券股份有限公司、兆豐證券股份有限公司、統一綜合證券股份有限公司、元大證券股份有限公司、鉅亨網證

2017 年 11 月 28 日

此乃重要函件，務請閣下即時處理。如閣下對應採取的行動有任何疑問，應尋求閣下的股票經紀、銀行經理、律師、稅務顧問、會計師或其他獨立財務顧問之意見。如閣下已出售或轉讓閣下於本基金的相關子基金的所有單位，請立即將本函件交予經手買賣或轉讓的股票經紀、銀行或其他代理，以便儘快轉交買方或承讓人。基金經理 PineBridge Investments Ireland Limited 的董事對本函件所載資料負責。據各董事所知及所信（各董事已合理審慎地確保如此），本函件所載資料均與事實相符，並未遺漏任何可能影響該等資料涵義之內容，各董事並承擔相應之責任。

致柏瑞環球基金 - 柏瑞歐洲小型公司股票基金及柏瑞環球債券基金的所有單位持有人

有關： 柏瑞環球基金（「本基金」） - 柏瑞歐洲小型公司股票基金及柏瑞環球債券基金（「子基金」）

對子基金附錄作出建議改動的通知

致各單位持有人：

吾等為 PineBridge Investments Ireland Limited（「基金經理」），謹此致函通知閣下本基金發行章程的附錄（「附錄」）將作出改動。本函件附錄一中概述對附錄作出的建議改動（「建議改動」）。

本函件詳列的建議改動並不須經單位持有人批准。閣下現獲本函件通知有關的建議改動。對附錄作出的改動預期將於本函件日期一個月後生效（「生效日期」），且在經更新的相關文件中載列。經更新的相關文件將由基金經理或（就非香港居民單位持有人而言）由本基金的行政代理人 State Street Fund Services (Ireland) Limited，以及（就香港居民單位持有人而言）由本基金的香港代表柏瑞投資亞洲有限公司的辦事處免費提供。

基金經理認為，本函件所述的建議改動符合本基金單位持有人的最佳利益。本函件所載之改動並不會使單位持有人的利益或權利受到重大影響。單位持有人如因本函件所載之建議改動而欲不再投資於本基金或相關子基金，可根據日期為 2016 年 10 月 27 日之現有發行章程列明的慣常方式選擇贖回或轉換其持有之單位，贖回或轉換費用於本函件發出當日直至 2017 年 12 月 27 日可獲豁免。

草擬及執行建議改動之法律及行政費用並不顯著，並將由本基金承擔。除本函件另有列明外，建議改動不會使子基金的費用架構有任何更改。

除另有訂明外，本函件所用詞彙與發行章程中所界定者具相同涵義。

如閣下對本函件有任何疑問，請聯絡 PineBridge Investments Ireland Limited 的 Linda O' Leary 女士，地址為 78 Sir John Rogerson's Quay, Dublin 2, Ireland，電話為 +353 1 697 3919，或電郵至 Linda.OLeary@pinebridge.com。居於香港的單位持有人應就本函件的任何疑問致電 +852 3970 3938 聯絡香港代表辦事處，地址為香港皇后大道東 1 號太古廣場三座 31 樓。新加坡的單位持有人應就本函件的任何疑問，致電 +65 6571 9360 聯絡新加坡代表辦事處，地址為 1 Robinson Road, #21-01 AIA Tower, Singapore 048542。

此致

代表

PineBridge Investments Ireland Limited

28 November 2017

This letter is important and requires your immediate attention. If you are in doubt as to the action you should take, you should seek advice from your stockbroker, bank manager, solicitor, tax adviser, accountant or other independent financial adviser. If you have sold or transferred all of your units in the relevant Sub-Funds of the Fund, please pass this letter at once to the stockbroker, bank or other agent through whom the sale or transfer was effected, for transmission to the purchaser or transferee as soon as possible. The Directors of the Manager, PineBridge Investments Ireland Limited, are the persons responsible for the information contained in this letter. To the best of the knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case), the information contained in this letter is in accordance with the facts and does not omit anything likely to affect the import of such information. The Directors accept responsibility accordingly.

To all Unitholders of PineBridge Global Funds – PineBridge Europe Small Cap Equity Fund & PineBridge Global Bond Fund

RE: PINEBRIDGE GLOBAL FUNDS (THE "FUND") – PINEBRIDGE EUROPE SMALL CAP EQUITY FUND & PINEBRIDGE GLOBAL BOND FUND (THE "SUB-FUNDS")  
NOTIFICATION OF PROPOSED CHANGES TO THE SUB-FUNDS

Dear Unitholder,

We, PineBridge Investments Ireland Limited, (the "Manager") are writing to notify you of changes that will be made to the supplements of the Sub-Funds in the Fund's prospectus (the "Supplements"). The proposed changes being made to the Supplements are summarised in Appendix 1 to this letter (the "Proposed Changes").

The Proposed Changes detailed in this letter (the "Proposed Changes") are not considered to require Unitholders' approval. You are hereby notified of the Proposed Changes pursuant to the terms of this letter. The Proposed Changes are expected to become effective one month after the date of this letter (the "Effective Date") and shall be contained in updated documentation which will be made available, free of charge, from the Manager or the Fund's administrative agent, State Street Fund Services (Ireland) Limited (for non-Hong Kong resident Unitholders), and from the offices of PineBridge Investments Asia Limited, the Fund's Hong Kong representative (for Hong Kong resident Unitholders).

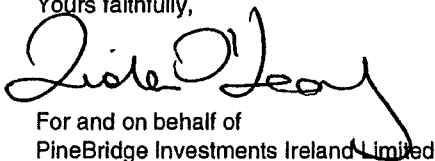
In the opinion of the Manager, the Proposed Changes described herein are in the best interests of the Unitholders of the Sub-Funds. None of the changes as described herein will materially prejudice the interests or rights of the Unitholders. Unitholders who no longer wish to invest in the relevant Sub-Funds due to the Proposed Changes described herein may redeem or switch their unitholding free of any redemption or switching charge from the date of this letter up until 27 December 2017 in the usual manner as provided in the current Prospectus dated 27 October 2016.

The legal and administrative costs of drafting and implementing the Proposed Changes are immaterial and will be borne by the Fund and/or its relevant Sub-Funds. Save as otherwise specified in this letter, there will be no change in the fee structure of the Sub-Funds as a result of the Proposed Changes.

All capitalised terms used but not defined herein shall have the meaning ascribed to them in the Prospectus.

If you have any queries regarding this letter please contact Ms Linda O'Leary at PineBridge Investments Ireland Limited, 78 Sir John Rogerson's Quay, Dublin 2, Ireland on +353 1 697 3919, or by email to Linda.OLeary@pinebridge.com. Unitholders resident in Hong Kong should address any queries regarding this letter to the offices of the Hong Kong representative at Level 31, Three Pacific Place, 1 Queen's Road East, Hong Kong on +852 3970 3938. Unitholders in Singapore should address any queries regarding this letter to the offices of the Singapore representative at 1 Robinson Road, #21-01 AIA Tower, Singapore 048542 on +65 6571 9360.

Yours faithfully,



For and on behalf of  
PineBridge Investments Ireland Limited