

巴克萊與美國紐約州金融服務管理局就 外匯電子交易調查達成和解

2015年11月18日

巴克萊銀行 (Barclays Bank PLC) 今天與美國紐約州金融服務管理局 (New York State Department of Financial Services) 就 2009 年至 2014 年期間，本行外匯電子交易及其交易系統所進行之調查達成和解協議。

紐約州金融服務管理局裁定巴克萊需繳付 1.5 億美元的民事金融罰款。該懲處主要關於若干內部系統及控制的失誤。

上述和解協議所產生的款項支出將反映於本行 2015 年第四季度之業績。

巴克萊將持續配合其他仍在進行的調查工作，並將做好早前已披露案件的訴訟風險管理。

編輯垂注

如有進一步查詢，請聯繫：

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關於巴克萊銀行

巴克萊銀行專營國際金融服務，服務領域涉及個人金融、企業金融、投資銀行、信用卡與財富管理，在歐洲、美洲、非洲與亞洲皆有眾多分行。巴克萊的使命是協助人們以正確方式達到目標。

巴克萊在銀行業擁有 325 年的歷史與專業經驗，營運範圍超過 50 個國家，擁有超過 13 萬名員工，為全球客戶提供資金移轉、貸款、投資與保管的服務。

如需進一步了解巴克萊銀行，請造訪我們的網站：www.home.barclays

Barclays announces settlement with New York State Department of Financial Services regarding foreign exchange electronic trading

18 Nov 2015 16:00

Barclays Bank PLC has today reached a settlement with the New York State Department of Financial Services ("DFS") in respect of its investigation into Barclays' electronic trading of foreign exchange ("FX") and FX electronic trading systems in the period 2009 to 2014. The DFS is imposing a civil monetary penalty of \$US150 million on Barclays Bank PLC, primarily for certain internal systems and controls failures.

The civil penalty payable under the settlement will be reflected in Barclays' Q4 2015 results.

Barclays continues to co-operate with other ongoing investigations and to manage related litigation risks as previously disclosed.

Notes to editors

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About Barclays

Barclays is an international financial services provider engaged in personal, corporate and investment banking, credit cards and wealth management with an extensive presence in Europe, the Americas, Africa and Asia. Barclays' purpose is to help people achieve their ambitions – in the right way.

With 325 years of history and expertise in banking, Barclays operates in over 50 countries and employs over 130,000 people. Barclays moves, lends, invests and protects money for customers and clients worldwide.

For further information about Barclays, please visit our website <http://www.home.barclays>.